



IAP13 Rec'd PCT/PTO 02 NOV 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Malcolm Carter, Elisa Henderson, Richard Kelsey, Lara Wilson, Phil Chambers, Debra Taylor and Stan Tyms.

Application No.: 10/528,250

Group: 1624

Filed (371(c)): June 21, 2005

Examiner: Kifle, Bruck

Confirmation No.: 2767

For: BENZODIAZEPINE DERIVATIVES AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
October 30, 2006	Beverly Weinberger
Date	Signature
Beverly Weinberger	
Typed or printed name of person signing certificate	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Second Preliminary Amendment for filing in the above-identified application.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The claims fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	44	MINUS *	40	4
INDEP	6	MINUS **	6	0
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			

* not fewer than 20

** not fewer than 3

SMALL ENTITY

	RATE	ADDIT. FEE
X	\$ 25	\$
X	\$100	\$
+	\$180	\$

TOTAL= \$ 0

OTHER THAN
SMALL ENTITY

	RATE	ADDIT. FEE
X	\$50	\$ 200
X	\$200	\$
+	\$360	\$

TOTAL= \$ 200

The Application Size Fee has been calculated as shown below:

(Effective for cases filed on or after December 8, 2004)

Actual Sheets (Including current amendment)	Highest No. of Sheets Paid For (At least 100)	No. of Additional Units Required (Increments of 50 sheets)
128	150	0

SMALL ENTITY

Rate	Total Amount Owed
X \$125	\$[]

OTHER THAN
SMALL ENTITY

Rate	Total Amount Owed
X \$250	\$[]

Payment
Sufficient for
up to

150 Sheets

Petition for Extension of Time

[] Applicant hereby petitions to extend the time to respond to the [] dated [] for [] month(s) from [] to []. The appropriate fee is set forth below.

[] [For action-specific language in an extension of time, go to insert, file, public folders, firm templates, and select the appropriate paragraph.]

11/06/2006 GFREY1 00000150 10528250

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200.00 02

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [] month Extension of Time	\$	
<input type="checkbox"/>	Claims Fee	\$	
<input type="checkbox"/>	Application Size Fee	\$	
<input type="checkbox"/>	Other Fees:		
		\$	
		\$	
		TOTAL:	\$ <u>0</u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [] month Extension of Time	\$	
<input checked="" type="checkbox"/>	Claims Fee	\$	200
<input type="checkbox"/>	Application Size Fee	\$	
<input type="checkbox"/>	Other Fees:		
		\$	
		\$	
		TOTAL:	\$ <u>200</u>

☒ Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Carol A. Egner
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Dated: October 30, 2006



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Date	Signature
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Typed or printed name of person signing certificate	

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed to add claims. No Office Action has been mailed from the U.S. Patent and Trademark Office in the above-identified application as of this date.

Please amend the application as follows:

~~11/02/2006 10:17 AM 3890.1000-000 10528250~~
~~11/02/2006 10:17 AM 3890.1000-000 10528250~~
~~01/03/2007 10:17 AM 3890.1000-000 10528250~~
~~2007-03-01~~